



PATENT  
Attorney Docket No. FJN-060DV  
(3999/63)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Goto et al.

SERIAL NO.: 09/062,113 GROUP NO.: 1647

FILING DATE: April 17, 1998 EXAMINER: Romeo, D.

TITLE: NOVEL PROTEINS AND METHODS FOR PRODUCING THE PROTEINS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**SUBMISSION OF SUBSTITUTE SPECIFICATION  
UNDER 37 C.F.R. 1.125**

(check and complete this item, if applicable)

1.  This replies to the Office Action mailed from the Patent Office on August 6, 2001.
2. Submitted herewith is/are
  - A.  a Substitute Specification, excluding the claims, drawings and sequence listing, reflecting the amendments presented in the Preliminary Amendment filed April 17, 1998 for this application; pagination in the Substitute Specification does not directly correspond with pagination in the specification as originally filed;
  - B.  a marked-up copy of the Substitute Specification showing the matter being added to and the matter being deleted from the specification of record.

## STATEMENT

3. I hereby state that:

the Substitute Specification includes no new matter.

Respectfully submitted,

Date: November 6, 2001  
Reg. No. 44,244

Tel. No.: (617) 248-7044  
Fax No.: (617) 248-7100

*Ronda P. Moore, D.V.M.*  
Ronda P. Moore, D.V.M.  
Attorney for Applicants  
Testa, Hurwitz, & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

2215068



1647

**PATENT**  
Attorney Docket No. FJN-060DV  
(3999/63)

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APPLICANT(S): Goto et al.

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EXAMINER:

Rom

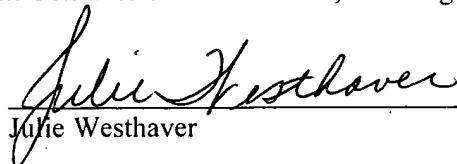
TECH CENTER 1600/2900

TITLE: NOVEL PROTEINS AND METHODS FOR PRODUCING THE  
PROTEINS

**RECEIVED**

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 6th day of November, 2001.

  
Julie Westhaver

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Transmittal Form (1 pg.); Amendment and Response (3 pgs.); Submission of Substitute Specification (2 pgs.); Marked Copy of Substitute Specification (91 pgs.); Substitute Specification (67 pgs.); and postcard.

2214187

TRANSMISSION  
FORM



Application Serial Number	09/062,113
Filing Date	April 17, 1998
First Named Inventor	Goto et al.
Group Art Unit	1647
Examiner Name	Romeo, D.
Attorney Docket No.	FJN-060DV
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <li><input type="checkbox"/> Check Attached</li> <li><input type="checkbox"/> Copy of Fee Transmittal Form</li> </ul>	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <ul style="list-style-type: none"> <li><input type="checkbox"/> Formal Drawing(s)</li> </ul>	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <ul style="list-style-type: none"> <li><input type="checkbox"/> Appeal Brief (in triplicate)</li> </ul>
<input checked="" type="checkbox"/> Amendment/Response <ul style="list-style-type: none"> <li><input type="checkbox"/> Preliminary</li> <li><input type="checkbox"/> After Final</li> <li><input type="checkbox"/> Affidavits/declaration(s)</li> <li><input type="checkbox"/> Letter to Official Draftsperson including Drawings</li> <li>[Total Sheets _____]</li> </ul>	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <ul style="list-style-type: none"> <li><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)</li> </ul>	<input type="checkbox"/> Status Inquiry <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Return Receipt Postcard</li> <li><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8</li> </ul>
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input checked="" type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <ul style="list-style-type: none"> <li><input type="checkbox"/> Additional Enclosure(s) (please identify below)</li> </ul>
<input type="checkbox"/> Information Disclosure Statement <ul style="list-style-type: none"> <li><input type="checkbox"/> Form PTO-1449</li> <li><input type="checkbox"/> Copies of IDS Citations</li> </ul>	<input type="checkbox"/> Small Entity Statement <ul style="list-style-type: none"> <li><input type="checkbox"/> CD(s) for large table or computer program</li> </ul>	<input checked="" type="checkbox"/> Submission of Substitute Specification <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Marked Copy of Substitute Specification</li> </ul>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Amendment After Allowance <ul style="list-style-type: none"> <li><input type="checkbox"/> Request for Certificate of Correction               <ul style="list-style-type: none"> <li><input type="checkbox"/> Certificate of Correction (in duplicate)</li> </ul> </li> </ul>	<input checked="" type="checkbox"/> Substitute Specification
<input type="checkbox"/> Sequence Listing submission <ul style="list-style-type: none"> <li><input type="checkbox"/> Paper Copy/CD</li> <li><input type="checkbox"/> Computer Readable Copy</li> <li><input type="checkbox"/> Statement verifying identity of above</li> </ul>		

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

  
 Ronda P. Moore, D.V.M.  
 Attorney for Applicants  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110